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TC 1700

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph D. Lichtenhan, et al.

Docket No: 38559-028-2003 #16

Serial No: 09/747,762

Group Art Unit: 1712

Filed: December 21, 2000

Examiner: Robertson, Jeffrey

For: **POLYHEDRAL OLIGOMERIC -SILSESQUIOXANES, -SILICATES,
AND -SILOXANES BEARING RING-STRAINED OLEFINIC
FUNCTIONALITIES**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form PTO-1449 (modified)
3. ☐ Statement as to Information Material to Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☐ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Identification of Person(s) Making This Information Disclosure Statement

Sections , respectively, have been continued on ADDED PAGE(S).

Section 1. Preliminary Statements

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 9. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is

- (a) ☐ the inventor(s) who signs below

(signature of inventor)

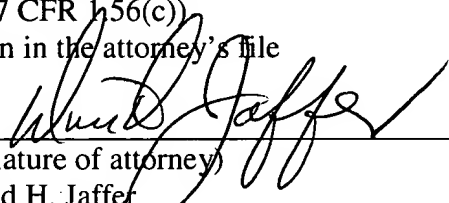
(typed name)

- (b) ☒ the attorney who signs below on the basis of:

- ☐ the information supplied by the inventor(s)
☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
☒ the information in the attorney's file

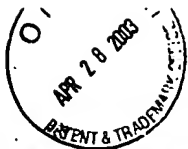
Date

4-22-03



(signature of attorney)
David H. Jaffer
Reg. No. 32,243

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Sheet 1 of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 38559-0282003	SERIAL NO. 09/747,762
	APPLICANT Joseph D. Lichtenhan, et al.	
	FILING DATE December 21, 2000	GROUP 1712

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
<i>gm</i>	AH	6,075,068	6-13-00	BISSINGER	523	116	
	AG	5,942,638	8-24-99	LICHTENHAN ET AL.	556	460	
	AF	5,939,576	8-17-99	LICHTENHAN ET AL.	556	460	
	AE	5,589,562	12-31-96	LICHTENHAN ET AL.	528	9	
	AD	5,484,867	1-16-96	LICHTENHAN ET AL.	528	9	
	AC	5,412,053	5-2-95	LICHTENHAN ET AL.	528	9	
	AA	5,190,808	5-2-93	TENNEY ET AL.	428	224	
	AB	4,900,779	2-13-90	LIEBFRIED	524	862	
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		
	AS		
	AT		

EXAMINER <i>Jeffrey B. Roberts</i>	DATE CONSIDERED <i>5/2/03</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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